

Unknown Input Based Observer Synthesis for a Polynomial T-S Fuzzy Model System with Uncertainties

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Abstract— This paper proposes a new approach based on the unknown input method to synthesize the observer for polynomial Takagi-Sugeno (T-S) fuzzy system with uncertainties. In this paper, the upper bounds of uncertainties are not given and the effect of uncertainties is eliminated without designing an extra controller. With the aids of the non-common Lyapunov theory and Matlab’s tools of the Sum-of-Square (SOS), a new observer is synthesized in which the observer form is completely different from the traditional observer forms reported in previous papers. The conditions for the observer synthesis are much relaxed and the complexity of the design process is reduced. Finally, two illustrative examples are presented to demonstrate the effectiveness of the proposed method.

Index Terms—Uncertain polynomial T-S fuzzy systems, observer synthesis, unknown inputs, Sum of Square (SOS).

I. INTRODUCTION

Takagi-Sugeno (T-S) fuzzy model [1]-[4] has received a great deal of attention in control system research area. This model has provided another way to solve the problems of nonlinear control systems. Therefore, many theories and control design methods for linear control systems can be applied in the T-S fuzzy system. In addition, a new approach for designing an optimal coordination controller based on the adaptive fuzzy dynamic programming and game theory for solving the consensus problem of multi-agent differential games was studied in [5]. There was a book [6] to study the Type-2 fuzzy logic in detail and a related study to design an adaptive slide mode controller for the interval Type-2 fuzzy systems was reported in [7]. In 2009, a more general form of the T-S fuzzy model called the polynomial T-S fuzzy model has been introduced in [8] and an interval Type 2 polynomial fuzzy model was investigated in [9]. This model allows the system

matrices containing polynomial forms in its entries instead of only constant forms. With the supporting Sum-Of-Square (SOS) Tools in Matlab [10], the polynomial T-S fuzzy system can be considered as an effective tool for modeling nonlinear control systems. Recently, a large number of studies focused on the polynomial T-S fuzzy systems such as controller design, observer design, and stability analysis [8]-[24]. For example, the stability analyses for the polynomial T-S fuzzy systems by employing the multiple Lyapunov function and switching Lyapunov function were investigated in [13] and [14], respectively. Besides, the controller design and observer-based controller design for the polynomial T-S fuzzy system were studied in many papers such as [18], and [21]-[22]. A non-PDC control design for a polynomial T-S fuzzy system by using control Lyapunov function and Songtag’s formula was proposed in [18]. The observer-based controllers for the polynomial T-S fuzzy system with immeasurable premise variables were synthesized in [21] and [23]. In [22], the authors proposed a new approach for stability analysis and controller design for a general polynomial T-S fuzzy system in which the polynomial Lyapunov function candidate does not need to satisfy any constraint. Additionally, the controller synthesis for discrete time polynomial T-S fuzzy systems without and with delay time was developed in [19] and [20], respectively. From the above review, it becomes obvious that the polynomial T-S fuzzy system has been paid attention increasingly and it extends the study scope larger than the conventional T-S fuzzy system does for nonlinear control systems.

In a wide range of real-life and practical systems, all or some of state variables are immeasurable or difficult to obtain by using the measurement devices due to both technical and economic issues. These states, however, are really necessary for system supervision and controller design. Therefore, the observer design was taken notice increasingly. Regarding the observer synthesis for the polynomial T-S fuzzy systems, various methods have been presented in the past few years [25]-[28]. In [25], a synthesis of both controller and observer for polynomial T-S fuzzy system was proposed to guarantee the system stability and the state estimation simultaneously. In addition, the method for designing observer and controller simultaneously for both continuous and discrete time polynomial T-S fuzzy systems were presented in [27] and [28], respectively.

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$$\begin{cases} \dot{x}(t) = \sum_{i=1}^r \beta_i(\theta(t)) [A_i(\zeta(t))x(t) + B_i(\zeta(t))u(t) + D(\zeta(t))\omega_i(t)] \\ y(t) = Cx(t), \quad i = 1, 2, \dots, r, \end{cases} \quad (6)$$

Now, the uncertain polynomial T-S fuzzy system (3) has been transformed to unknown input polynomial T-S fuzzy system (6). Therefore, the observer will be synthesized to estimate unavailable states of the system (6) rather than of the system (3).

Re-consider the form of observer (4) but with a polynomial matrix $E(\zeta(t))$ as follows

$$\begin{cases} \dot{z} = \sum_{i=1}^r \beta_i(\theta(t)) [N_i(\zeta(t))x(t) + G_i(\zeta(t))u(t) + L_i(\zeta(t))y(t)] \\ \hat{x}(t) = z(t) - E(\zeta(t))y(t) \end{cases} \quad (7a) \quad (7b)$$

The $\hat{x}(t)$ is the estimation of the state variable $x(t)$ and $z(t)$ is the state vector of the observer.

Let us define the estimation error

$$e(t) = x(t) - \hat{x}(t) \quad (8)$$

and substituting (7b) into (8) yields

$$\begin{aligned} e(t) &= x(t) - z(t) + E(\zeta(t))y(t) \\ &= (I + E(\zeta(t))C)x(t) - z(t) = M(\zeta(t))x(t) - z(t) \end{aligned} \quad (9)$$

where $M(\zeta(t)) = I + E(\zeta(t))C$.

Computing the derivative of estimation error $e(t)$ in (9) yields

$$\dot{e}(t) = \frac{\partial M(\zeta(t))}{\partial \zeta(t)} \dot{\zeta}(t)x(t) + M(\zeta(t))\dot{x}(t) - \dot{z} \quad (10)$$

From (10), it is clearly seen that the term $\frac{\partial M(\zeta(t))}{\partial \zeta(t)} \dot{\zeta}(t)x(t)$ is

very complicated because of the terms of differentiation. Therefore, this paper proposes a new observer form as follows

$$\begin{aligned} \dot{\hat{x}} &= \sum_{i=1}^r \beta_i(\theta(t)) [N_i(\zeta(t))\hat{x}(t) + G_i(\zeta(t))u(t) \\ &\quad + L_i(\zeta(t))y(t) + F(\zeta(t))\dot{y}(t)] \end{aligned} \quad (11)$$

where $N_i(\zeta(t)) \in \mathfrak{R}^{n \times n}$, $G_i(\zeta(t)) \in \mathfrak{R}^{n \times m}$, $L_i(\zeta(t)) \in \mathfrak{R}^{n \times p}$, $F(\zeta(t)) \in \mathfrak{R}^{n \times p}$ are polynomial matrices to be determined later. $\hat{x}(t)$ is the estimation of the real state variable $x(t)$.

From (6), we have

$$\begin{aligned} \dot{y}(t) &= C\dot{x}(t) \\ &= \sum_{i=1}^r \beta_i(\theta(t)) [CA_i(\zeta(t))x(t) + CB_i(\zeta(t))u(t) + CD(\zeta(t))\omega_i(t)] \end{aligned} \quad (12)$$

From (8), we have

$$\dot{e}(t) = \dot{x}(t) - \dot{\hat{x}}(t) \quad (13)$$

Substituting (6) and (11) into (13) yields

$$\begin{aligned} \dot{e}(t) &= \sum_{i=1}^r \beta_i(\theta(t)) [A_i(\zeta(t))x(t) + B_i(\zeta(t))u(t) \\ &\quad + D(\zeta(t))\omega_i(t)] - \sum_{i=1}^r \beta_i(\theta(t)) [N_i(\zeta(t))\hat{x}(t) + G_i(\zeta(t))u(t) \\ &\quad + L_i(\zeta(t))y(t) + F(\zeta(t))\dot{y}(t)] \end{aligned} \quad (14)$$

Combining (12) and (14), the obtained result is

$$\begin{aligned} \dot{e}(t) &= \sum_{i=1}^r \beta_i(\theta(t)) \{A_i(\zeta(t))x(t) + B_i(\zeta(t))u(t) + D(\zeta(t))\omega_i(t) \\ &\quad - N_i(\zeta(t))\hat{x}(t) - G_i(\zeta(t))u(t) - L_i(\zeta(t))y(t) \\ &\quad - F(\zeta(t)) [CA_i(\zeta(t))x(t) + CB_i(\zeta(t))u(t) + CD(\zeta(t))\omega_i(t)]\} \\ &= \sum_{i=1}^r \beta_i(\theta(t)) [A_i(\zeta(t))x(t) - F(\zeta(t))CA_i(\zeta(t))x(t) - L_i(\zeta(t)) \\ &\quad \times Cx(t) - N_i(\zeta(t))\hat{x}(t) + B_i(\zeta(t))u(t) - F(\zeta(t))CB_i(\zeta(t))u(t) \\ &\quad - G_i(\zeta(t))u(t) + D(\zeta(t))\omega_i(t) - F(\zeta(t))CD(\zeta(t))\omega_i(t)] \\ &= \sum_{i=1}^r \beta_i(\theta(t)) [(A_i(\zeta(t)) - F(\zeta(t))CA_i(\zeta(t)) - L_i(\zeta(t))C \\ &\quad - N_i(\zeta(t)))x(t) + N_i(\zeta(t))e(t) + (B_i(\zeta(t)) - F(\zeta(t))CB_i(\zeta(t)) \\ &\quad - G_i(\zeta(t)))u(t) + (D(\zeta(t)) - F(\zeta(t))CD(\zeta(t)))\omega_i(t)] \end{aligned} \quad (15)$$

In the following proof of the Theorem 1, an assumption is needed.

Assumption 3 [41]: Assume that $|\dot{\beta}_k(\theta(t))| \leq \partial_k$, $k = 1, 2, \dots, r$, where $\partial_k > 0$ is a constant.

Theorem 1: Under Assumptions 1, 2, and 3, the estimation error (8) with the observer (11) converges to zero asymptotically if there exist polynomial matrices $F(\zeta(t))$, $N_i(\zeta(t))$, $L_i(\zeta(t))$, $G_i(\zeta(t))$ and symmetric matrix P_i such that the following conditions hold with $i=1, 2, \dots, r$.

$$A_i(\zeta(t)) - F(\zeta(t))CA_i(\zeta(t)) - L_i(\zeta(t))C - N_i(\zeta(t)) = 0 \quad (16)$$

$$B_i(\zeta(t)) - F(\zeta(t))CB_i(\zeta(t)) - G_i(\zeta(t)) = 0 \quad (17)$$

$$D(\zeta(t)) - F(\zeta(t))CD(\zeta(t)) = 0 \quad (18)$$

$$v_1^T (P_i - \varepsilon_1 I) v_1 \text{ is SOS} \quad (19)$$

$$-v_2^T \left(\sum_{k=1}^r \partial_k P_k + N_i^T(\zeta(t))P_j + P_j N_i(\zeta(t)) + \varepsilon_2(\zeta(t))I \right) v_2 \text{ is SOS} \quad (20)$$

where v_1 and v_2 are vectors with appropriate dimensions that do not depend on $\zeta(t)$, $\varepsilon_1 > 0$, and $\varepsilon_2(\zeta(t)) > 0$ at $\zeta(t) \neq 0$.

Proof:

If the conditions (16)-(18) of Theorem 1 hold, then (15) becomes

$$\dot{e}(t) = \sum_{i=1}^r \beta_i(\theta) \{N_i(\zeta(t))e(t)\} \quad (21)$$

Select the non- common Lyapunov function as follows

$$V(t) = \sum_{i=1}^r \beta_i(\theta) e^T(t) P_i e(t) \quad (22)$$

It is noted that if the condition (19) holds, it means that the symmetric $P_i > 0$.

Taking the derivative of Lyapunov function results in

$$\dot{V}(t) = \sum_{k=1}^r \dot{\beta}_k(\theta) e^T(t) P_k e(t) + \sum_{i=1}^r \beta_i(\theta) [\dot{e}^T(t) P_i e(t) + e^T(t) P_i \dot{e}(t)]. \quad (23)$$

Substituting (21) into (23), and on the basis of the Assumption 3 produces

$$\begin{aligned} \dot{V}(t) &\leq \sum_{k=1}^r e^T(t) \partial_k P_k e(t) + \sum_{i=1}^r \sum_{j=1}^r \beta_i(\theta) \{e^T(t) N_i^T(\zeta(t)) P_j e(t) \\ &+ e^T(t) P_j N_i(\zeta(t)) e(t)\} \leq \sum_{k=1}^r e^T(t) \partial_k P_k e(t) + \sum_{i=1}^r \sum_{j=1}^r \beta_i(\theta) e^T(t) \\ &\times \{N_i^T(\zeta(t)) P_j + P_j N_i(\zeta(t))\} e(t) \\ &\leq \sum_{i=1}^r \sum_{j=1}^r \beta_i(\theta) e^T(t) \{N_i^T(\zeta(t)) P_j + P_j N_i(\zeta(t)) + \sum_{k=1}^r \partial_k P_k\} e(t) \end{aligned} \quad (24)$$

It can be seen from (24) that if the condition (20) holds, then $\dot{V}(t) < 0$, it means that the estimation error (8) approaches zero asymptotically. The proof is completed.

Remark 5: The term positive ε_1 and $\varepsilon_2(\zeta(t)) > 0$ are used in Theorem 1 to guarantee P_i is positive define matrix and $\sum_{k=1}^r \partial_k P_k + N_i^T(\zeta(t)) P_j + P_j N_i(\zeta(t))$ is negative define matrix instead of semi-positive and semi-negative define.

In order to synthesize the observer (11), all conditions (16)-(20) must be solved to determine the parameters $N_i(\zeta(t))$, $G_i(\zeta(t))$, $L_i(\zeta(t))$, and $F(\zeta(t))$ of the observer (11). However, the condition (20) is a polynomial BMI (Bilinear Matrix Inequality) which cannot be solved by using SOS TOOL in Matlab, therefore, we need to transform it into the polynomial Linear Matrix Inequalities (LMIs). The following Theorem 2 will be with polynomial LMI.

Lemma 1 [44]: There are two matrices $A \in \mathfrak{R}^{m \times n}$ with $m \geq n$, $B \in \mathfrak{R}^{k \times n}$ and suppose that $BA^+A = B$. Then any matrix of the form $X = BA^+ + Y(I - AA^+)$ is a solution of $XA = B$, where $Y \in \mathfrak{R}^{k \times m}$ is an arbitrary matrix and A^+ is defined as $A^+ = (A^T A)^{-1} A^T$ which is the Moore-Penrose pseudo-inverse of A .

Lemma 2: Let A , S , P , and R be matrices with proper sizes. The following two inequalities are equivalent:

$$\begin{aligned} i) \quad & R + A^T P + PA < 0 \\ ii) \quad & \exists S : \begin{bmatrix} A^T S^T + SA + R & P - S + A^T S^T \\ P - S^T + SA & -S - S^T \end{bmatrix} < 0 \end{aligned}$$

Proof of Lemma 2:

**) ii) implication i):* We pre-multiply and post-multiply (ii) with $\begin{bmatrix} I \\ A \end{bmatrix}^T$ and $\begin{bmatrix} I \\ A \end{bmatrix}$ respectively which yields

$$\begin{bmatrix} I \\ A \end{bmatrix}^T \begin{bmatrix} A^T S^T + SA + R & P - S + A^T S^T \\ P - S^T + SA & -S - S^T \end{bmatrix} \begin{bmatrix} I \\ A \end{bmatrix} = R + A^T P + PA < 0$$

**) i) implication ii):* From i) we have

$$\begin{aligned} &(R + A^T P + PA) + (A^T S^T - A^T S^T) + (SA - SA) \\ &+ (A^T S^T A - A^T S^T A) + (A^T SA - A^T SA) < 0 \\ &A^T S^T + SA + R + PA - SA + A^T S^T A + A^T P - A^T S^T \\ &+ A^T SA - A^T S^T A - A^T SA < 0 \end{aligned} \quad (*)$$

We can rewrite (*) in the form of matrix as follows

$$\begin{bmatrix} I \\ A \end{bmatrix}^T \begin{bmatrix} A^T S^T + SA + R & P - S + A^T S^T \\ P - S^T + SA & -S - S^T \end{bmatrix} \begin{bmatrix} I \\ A \end{bmatrix} < 0$$

That leads to

$$\begin{bmatrix} A^T S^T + SA + R & P - S + A^T S^T \\ P - S^T + SA & -S - S^T \end{bmatrix} < 0$$

Therefore i) and ii) are equivalent. The proof is completed.

Theorem 2: Under Assumptions 1, 2, and 3, the estimation error (8) with the observer (11) converges to zero asymptotically if there exist polynomial matrices $F(\zeta(t))$, $N_i(\zeta(t))$, $L_i(\zeta(t))$, $G_i(\zeta(t))$, $K(\zeta(t))$, $X(\zeta(t))$, $Q_i(\zeta(t))$, and symmetric matrix P_i such that the following conditions hold for $i=1, 2, \dots, r$.

$$v_1^T (P_i - \varepsilon_1 I) v_1 \text{ is SOS} \quad (25)$$

$$-v_2^T \left(\begin{bmatrix} \Phi_{ik}^{(11)} & (*) \\ \Omega_{ij}^{(12)} & -X(\zeta(t)) - X^T(\zeta(t)) \end{bmatrix} + \varepsilon_2(\zeta(t)) I \right) v_2 \text{ is SOS} \quad (26)$$

$$\begin{aligned} \Phi_{ik}^{(11)} &= \sum_{k=1}^r \partial_k P_k + (A_i(\zeta(t)) - U(\zeta(t))CA_i(\zeta(t)))^T X^T(\zeta(t)) \\ &- (V(\zeta(t))CA_i(\zeta(t)))^T K^T(\zeta(t)) - C^T Q_i^T(\zeta(t)) + X(\zeta(t))(A_i(\zeta(t)) \\ &- U(\zeta(t))CA_i(\zeta(t))) - K(\zeta(t))(V(\zeta(t))CA_i(\zeta(t))) - Q_i(\zeta(t))C \\ &\quad (27) \\ \Omega_{ij}^{(12)} &= P_j - X^T(\zeta(t)) + X(\zeta(t))(A_i(\zeta(t)) - U(\zeta(t))CA_i(\zeta(t))) \\ &- K(\zeta(t))(V(\zeta(t))CA_i(\zeta(t))) - Q_i(\zeta(t))C \end{aligned} \quad (28)$$

where v_1 and v_2 are vectors with appropriate dimensions that do not depend on $\zeta(t)$, $\varepsilon_1 > 0$, $\varepsilon_2(\zeta(t)) > 0$ at $\zeta(t) \neq 0$, and

$$(CD(\zeta(t)))^+ = ((CD(\zeta(t)))^T (CD(\zeta(t))))^{-1} (CD(\zeta(t)))^T \quad (29)$$

$$U(\zeta(t)) = D(\zeta(t))(CD(\zeta(t)))^+ \quad (29)$$

$$V(\zeta(t)) = (I - (CD(\zeta(t)))(CD(\zeta(t)))^+) \quad (30)$$

$$K(\zeta(t)) = X(\zeta(t))Y(\zeta(t)) \quad (31)$$

$$Q_i(\zeta(t)) = X(\zeta(t))L_i(\zeta(t)). \quad (32)$$

Moreover, the parameters of observer (11) are computed as follows

$$F(\zeta(t)) = U(\zeta(t)) + Y(\zeta(t))V(\zeta(t)) \quad (33)$$

$$G_i(\zeta(t)) = B_i(\zeta(t)) - F(\zeta(t))CB_i(\zeta(t)) \quad (34)$$

$$L_i(\zeta(t)) = X^{-1}(\zeta(t))Q_i(\zeta(t)) \quad (35)$$

$$N_i(\zeta(t)) = A_i(\zeta(t)) - F(\zeta(t))CA_i(\zeta(t)) - L_i(\zeta(t))C \quad (36)$$

Proof:

From the condition (20) of Theorem 1, it can be inferred that

$$\sum_{k=1}^r \partial_k P_k + N_i^T(\zeta(t))P_j + P_j N_i(\zeta(t)) < 0. \quad (37)$$

Employing the Lemma 2 for (37) with slack variable $X(\zeta(t))$, one obtains

$$\begin{bmatrix} \Phi_{ik}^{(11)} & (*) \\ \Omega_{ij}^{(12)} & -X(\zeta(t)) - X^T(\zeta(t)) \end{bmatrix} < 0 \quad (38)$$

where

$$\Phi_{ik}^{(11)} = \sum_{k=1}^r \partial_k P_k + N_i^T(\zeta(t))X^T(\zeta(t)) + X(\zeta(t))N_i(\zeta(t)) \quad (39)$$

$$\Omega_{ij}^{(12)} = P_j - X^T(\zeta(t)) + X(\zeta(t))N_i(\zeta(t)). \quad (40)$$

From (18), we have

$$F(\zeta(t))CD(\zeta(t)) = D(\zeta(t)) \quad (41)$$

On the basis of the Lemma 1, the general solution of (41) is

$$\begin{aligned} F(\zeta(t)) &= D(\zeta(t))(CD(\zeta(t)))^+ \\ &+ Y(\zeta(t))(I - (CD(\zeta(t)))(CD(\zeta(t)))^+) \end{aligned} \quad (42)$$

where

$$(CD(\zeta(t)))^+ = ((CD(\zeta(t)))^T (CD(\zeta(t))))^{-1} (CD(\zeta(t)))^T.$$

It is noted that the existence of the general solution (42) is guaranteed if only if the matrices C and $D(\zeta(t))$ satisfy the Assumption 2.

Let's denote

$$U(\zeta(t)) = D(\zeta(t))(CD(\zeta(t)))^+ \quad (43)$$

$$V(\zeta(t)) = (I - (CD(\zeta(t)))(CD(\zeta(t)))^+). \quad (44)$$

Substituting (43) and (44) into (42) yields

$$F(\zeta(t)) = U(\zeta(t)) + Y(\zeta(t))V(\zeta(t)). \quad (45)$$

From (16) and (45), one obtains

$$\begin{aligned} N_i(\zeta(t)) &= A_i(\zeta(t)) - (U(\zeta(t)) + Y(\zeta(t))V(\zeta(t)))CA_i(\zeta(t)) \\ -L_i(\zeta(t))C &= A_i(\zeta(t)) - (U(\zeta(t))CA_i(\zeta(t))) \\ &- (Y(\zeta(t))V(\zeta(t))CA_i(\zeta(t))) - L_i(\zeta(t))C \end{aligned} \quad (46)$$

Substituting (46) into (39), the obtained result is

$$\begin{aligned} \Phi_{ik}^{(11)} &= \sum_{k=1}^r \partial_k P_k + (A_i(\zeta(t)) - (U(\zeta(t))CA_i(\zeta(t))) - (Y(\zeta(t)) \\ &\times V(\zeta(t))CA_i(\zeta(t))) - L_i(\zeta(t))C)^T X^T(\zeta(t)) + X(\zeta(t))(A_i(\zeta(t)) \\ &- (U(\zeta(t))CA_i(\zeta(t))) - (Y(\zeta(t))V(\zeta(t))CA_i(\zeta(t))) - L_i(\zeta(t))C) \\ &= \sum_{k=1}^r \partial_k P_k + (A_i(\zeta(t)) - U(\zeta(t))CA_i(\zeta(t)))^T X^T(\zeta(t)) \\ &- (V(\zeta(t))CA_i(\zeta(t)))^T Y^T(\zeta(t))X^T(\zeta(t)) - C^T L_i^T(\zeta(t))X^T(\zeta(t)) \\ &+ X(\zeta(t))(A_i(\zeta(t)) - U(\zeta(t))CA_i(\zeta(t))) \\ &- X(\zeta(t))Y(\zeta(t))(V(\zeta(t))CA_i(\zeta(t))) - X(\zeta(t))L_i(\zeta(t))C. \end{aligned} \quad (47)$$

Substituting (46) into (40), we have

$$\begin{aligned} \Omega_{ij}^{(12)} &= P_j - X^T(\zeta(t)) + X(\zeta(t))(A_i(\zeta(t)) - (U(\zeta(t))CA_i(\zeta(t))) \\ &- (Y(\zeta(t))V(\zeta(t))CA_i(\zeta(t))) - L_i(\zeta(t))C) \\ &= P_j - X^T(\zeta(t)) + X(\zeta(t))(A_i(\zeta(t)) - U(\zeta(t))CA_i(\zeta(t))) \\ &- X(\zeta(t))Y(\zeta(t))(V(\zeta(t))CA_i(\zeta(t))) - X(\zeta(t))L_i(\zeta(t))C \end{aligned} \quad (48)$$

Let us define

$$K(\zeta(t)) = X(\zeta(t))Y(\zeta(t)) \quad (49)$$

$$Q_i(\zeta(t)) = X(\zeta(t))L_i(\zeta(t)) \quad (50)$$

Substituting (49) and (50) into (47) and (48), we obtain

$$\begin{aligned} \Phi_{ik}^{(11)} &= \sum_{k=1}^r \partial_k P_k + (A_i(\zeta(t)) - U(\zeta(t))CA_i(\zeta(t)))^T X^T(\zeta(t)) \\ &\quad - (V(\zeta(t))CA_i(\zeta(t)))^T K^T(\zeta(t)) - C^T Q_i^T(\zeta(t)) + X(\zeta(t))(A_i(\zeta(t)) \\ &\quad - U(\zeta(t))CA_i(\zeta(t))) - K(\zeta(t))(V(\zeta(t))CA_i(\zeta(t))) - Q_i(\zeta(t))C \end{aligned} \quad (51)$$

$$\begin{aligned} \Omega_{ij}^{(12)} &= P_j - X^T(\zeta(t)) + X(\zeta(t))(A_i(\zeta(t)) - U(\zeta(t))CA_i(\zeta(t))) \\ &\quad - K(\zeta(t))(V(\zeta(t))CA_i(\zeta(t))) - Q_i(\zeta(t))C. \end{aligned} \quad (52)$$

From (51) and (52), it is seen that (38) becomes

$$\begin{bmatrix} \Phi_{ik}^{(11)} & (*) \\ \Omega_{ij}^{(12)} & -X(\xi(t)) - X^T(\xi(t)) \end{bmatrix} < 0 \quad (53)$$

where $\Phi_{ik}^{(11)}$ and $\Omega_{ij}^{(12)}$ are expressed as (51) and (52), respectively.

It is seen that (53) is equivalent to (26) of Theorem 2 and it is a polynomial LMI. It means that the polynomial BMI (20) has been successfully transformed into polynomial LMI in Theorem 2. The proof is completed.

It is noted that the polynomial LMI in Theorem 2 can be solved easily by using SOS TOOL of Matlab [46]. The brief procedure for the observer (11) synthesis is presented below:

Step 1: Check the matrices C and $D(\zeta(t))$ satisfy the Assumption 2 or not. If yes, we go to the next step. If not, this method does not work for this case.

Step 2: From (29) and (30), $U(\zeta(t))$ and $V(\zeta(t))$ are obtained.

Step 3: Resolve (25) and (26) to obtain P_j , $K(\zeta(t))$, $X(\zeta(t))$, $Q_i(\zeta(t))$, then from (31) we obtain $Y(\zeta(t))$.

Step 4: The matrices $F(\zeta(t))$, $G_i(\xi(t))$, $L_i(\zeta(t))$ and $N_i(\zeta(t))$ are obtained from (33)-(36), respectively.

Step 5: The observer (11) is synthesized.

IV. ILLUSTRATIVE EXAMPLES

In this section, two examples are illustrated to prove the effectiveness of the proposed method. Example 1 is a numerical example and Example 2 is an application for Inverted Pendulum.

A. Example 1

Consider a nonlinear system as follows

$$\begin{cases} \dot{x}_1(t) = \cos(x_1(t))x_2(t) + x_1^3(t)u(t) \\ \dot{x}_2(t) = x_1(t) - x_1^2(t)x_2(t) \\ y(t) = x_1(t) \end{cases} \quad (54)$$

Let the system (54) be transformed into a polynomial T-S fuzzy system where $\zeta(t)$ of polynomial T-S fuzzy system is the output $y(t)$. Then

$$\begin{cases} \dot{x}(t) = \sum_{i=1}^2 \beta_i(\theta(t))[A_i(y)x(t) + B_i(y)u(t)] \\ y(t) = Cx(t) \end{cases} \quad (55)$$

$$A_1(y) = \begin{bmatrix} 0 & 1 \\ 1 & -y^2 \end{bmatrix}, A_2(y) = \begin{bmatrix} 0 & -1 \\ 1 & -y^2 \end{bmatrix}, B_1(y) = B_2(y) = \begin{bmatrix} y^3 \\ 0 \end{bmatrix}$$

$$, C = \begin{bmatrix} 1 & 0 \end{bmatrix}.$$

$$\beta_1(\theta(t)) = \frac{1 + \cos(x_1(t))}{2}; \beta_2(\theta(t)) = \frac{1 - \cos(x_1(t))}{2}.$$

We can see that

$$\left| \dot{\beta}_1(\theta(t)) \right| = \left| -\dot{x}_1 \frac{\sin(x_1)}{2} \right|, \left| \dot{\beta}_2(\theta(t)) \right| = \left| \dot{x}_1 \frac{\sin(x_1)}{2} \right|, \quad \text{then we}$$

assume $\partial_1 = \partial_2 = 0.4$.

Suppose the system (55) is influenced by the uncertainties and the bounded constraints of these uncertainties are unknown. The system (55) is expressed in the following form

$$\begin{cases} \dot{x}(t) = \sum_{i=1}^2 \beta_i(\theta(t))[A_i(y) + \Delta A_i(y)]x(t) + (B_i(y) + \Delta B_i(y))u(t) \\ y(t) = Cx(t) \end{cases} \quad (56)$$

where

$$\begin{aligned} \Delta A_1(y) &= \begin{bmatrix} \cos(2y)/y & -2\sin(2y+1)/y \\ 0.25\cos(2y) & -0.5\sin(2y+1) \end{bmatrix}, \\ \Delta A_2(y) &= \begin{bmatrix} -\sin(2y)/y & -2.4\cos(y)/y \\ -0.25\sin(2y) & -0.6\cos(y) \end{bmatrix}, \\ \Delta B_1(y) &= \begin{bmatrix} 2.2\cos(y^2)/y \\ 0.55\cos(y^2) \end{bmatrix}, \Delta B_2(y) = \begin{bmatrix} \sin(y^2)/y \\ 0.25\sin(y^2) \end{bmatrix}. \end{aligned}$$

Transforming system (56) into the unknown input system yields

$$\begin{cases} \dot{x}(t) = \sum_{i=1}^2 \beta_i(\theta(t))[A_i(y)x(t) + B_i(y)u(t) + D(y)\omega_i(y)] \\ y(t) = Cx(t) \end{cases} \quad (57)$$

where

$$D(y) = \begin{bmatrix} 2/y \\ 0.5 \end{bmatrix}.$$

Step 1: We can see that C , $D(y(t))$ are full row and column ranks, and $\text{normal rank}(CD(\zeta(t))) = \text{normal rank}(D(\zeta(t))) = 1$, thus the Assumption 2 is satisfied.

Step 2: From (29) and (30),

$$U(y) = \begin{bmatrix} 1 \\ y/4 \end{bmatrix}, V(y) = 0;$$

Step 3: Resolve the constraint (25) and (26) of Theorem 2 using the SOS TOOL of Matlab. We can obtain the values below

$$P_1 = \begin{bmatrix} 1.0397 & -0.24409 \times 10^{-5} \\ -0.24409 \times 10^{-5} & 0.13246 \times 10^{-8} \end{bmatrix},$$

$$P_2 = \begin{bmatrix} 1.0369 & -0.24294 \times 10^{-5} \\ -0.24294 \times 10^{-5} & 0.11553 \times 10^{-8} \end{bmatrix},$$

$$K(y) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}, Y(y) = \begin{bmatrix} 0 \\ 0 \end{bmatrix},$$

$$X = \begin{bmatrix} 0.0013842 & 0.20861 \times 10^{-10} \\ -0.32898 \times 10^{-5} & 0.33362 \times 10^{-8} \end{bmatrix},$$

$$Q_1(y) = \begin{bmatrix} 0.2772 \times 10^{-8} y^2 - 0.6543 \times 10^{-8} y + 1.0351 \\ -0.5871 \times 10^{-10} y^2 - 0.2509 \times 10^{-7} y - 0.2135 \times 10^{-5} \end{bmatrix},$$

$$Q_2(y) = \begin{bmatrix} 0.27083 \times 10^{-8} y^2 + 0.78949 \times 10^{-9} y + 1.0377 \\ 0.16966 \times 10^{-9} y^2 + 0.39287 \times 10^{-6} y + 0.34 \times 10^{-5} \end{bmatrix}.$$

Step 4 and Step 5: The matrix $F(\zeta(t))$ is obtained from (33).

We obtain $N_i(\zeta(t))$ from (36), $L_i(\zeta(t))$ from (35) and $G_i(\xi(t))$ from (34).

$$N_1(y) = \begin{bmatrix} -0.2003 \times 10^{-5} y^2 + 0.4613 \times 10^{-5} y & 0 \\ -747.7854 & \\ 0.0156 y^2 + 7.52 y - 736744.09 & -y^2 - y/4 \end{bmatrix}$$

$$N_2(y) = \begin{bmatrix} -0.1955 \times 10^{-5} y^2 + 0.120436 \times 10^{-5} y & 0 \\ -749.6637 & \\ -0.0527 y^2 - 117.7585 y - 740255.5170 & -y^2 + y/4 \end{bmatrix}$$

$$L_1(y) = \begin{bmatrix} 0.200349 \times 10^{-5} y^2 - 0.461377 \times 10^{-5} y + 747.785457 \\ -0.015625 y^2 - 7.526880 y + 1736745.098989 \end{bmatrix},$$

$$L_2(y) = \begin{bmatrix} 0.195579 \times 10^{-5} y^2 - 0.120435 \times 10^{-5} y + 749.663746 \\ 0.052783 y^2 + 117.758539 y + 740256.517083 \end{bmatrix}$$

$$G_1(y) = \begin{bmatrix} 0 \\ -y^4/4 \end{bmatrix}, G_2(y) = \begin{bmatrix} 0 \\ -y^4/4 \end{bmatrix}, F(y) = \begin{bmatrix} 1 \\ y/4 \end{bmatrix}.$$

Here, we used Simulink tools to carry out the simulation. The initial states of the system $x(0) = [-1.5 \ -30]^T$ and the estimated states are $\hat{x}(0) = [-0.5 \ -50]^T$. The input used for simulation is $u(t) = 0.2 \sin(t)$.

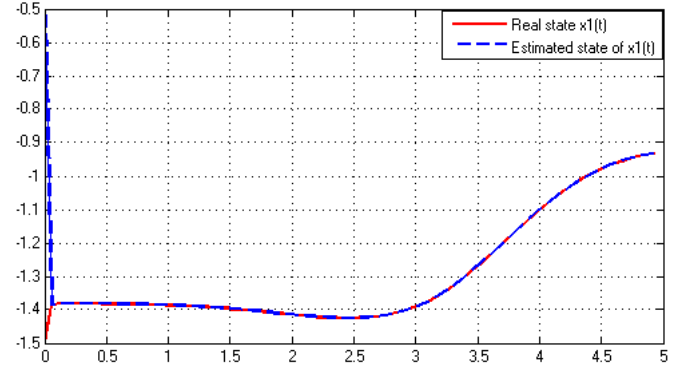


Fig. 1. Real state $x_1(t)$ and estimated state $\hat{x}_1(t)$.

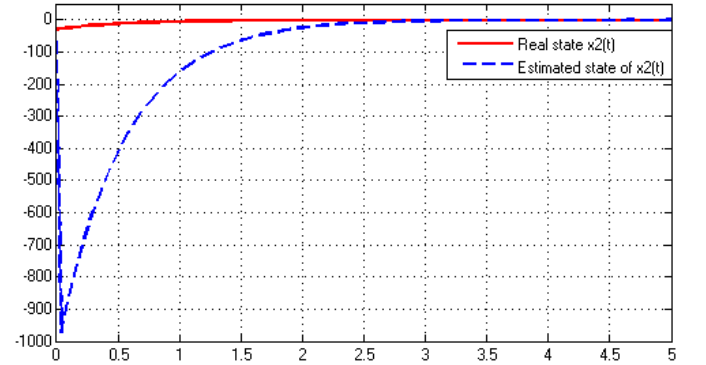


Fig. 2. Real state $x_2(t)$ and estimated state $\hat{x}_2(t)$.

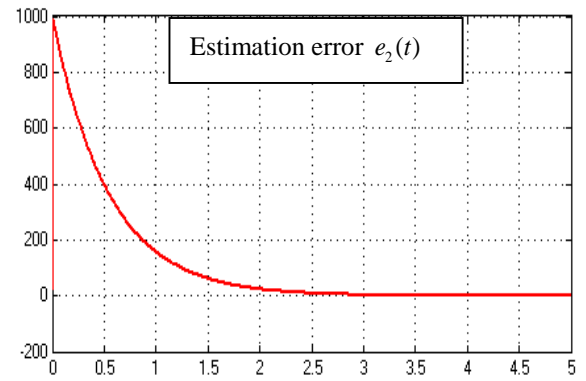
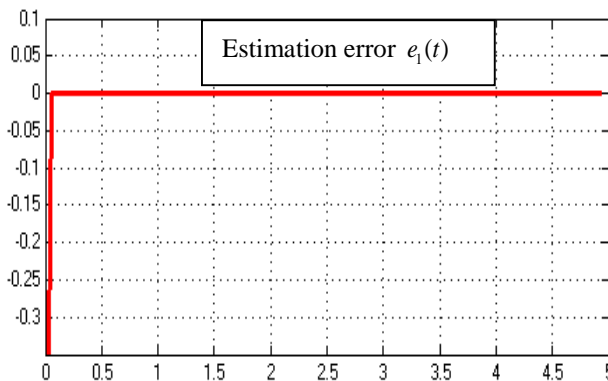


Fig. 3. Estimation errors $e_1(t)$ and $e_2(t)$

Figures 1-3 show the simulation results of the numerical example, in which the system (56) is perturbed by the uncertainties $\Delta A_1(y(t))$, $\Delta A_2(y(t))$, $\Delta B_1(y(t))$, and $\Delta B_2(y(t))$. Figures 1 and 2 depict the states x_1 , x_2 and their estimated signals. The estimation error is illustrated in Fig. 3. It can be seen from these figures that the estimated states can approach real states asymptotically. Hence, the proposed method is successful in synthesizing observer for polynomial T-S fuzzy system with uncertainties.

Remark 6: It is noted that if we use general T-S fuzzy system to represent the nonlinear system (54), both $\cos(x_1)$ and x_1 must be linearized and the local bound range of x_1 must be given. Then there will be four fuzzy rules in the T-S fuzzy system. However, if let a polynomial T-S fuzzy system be present the original nonlinear system, x_1 will be putted in the system matrices, therefore the bound range of x_1 does not need to know and the number of fuzzy rules will be reduced to two. It means that the polynomial T-S fuzzy system will represent the system (54) be more exactly over global region.

B. Example 2

In this example, we consider a practical dynamic model of an Inverted Pendulum on a cart [21]. The system is depicted in the following figure.

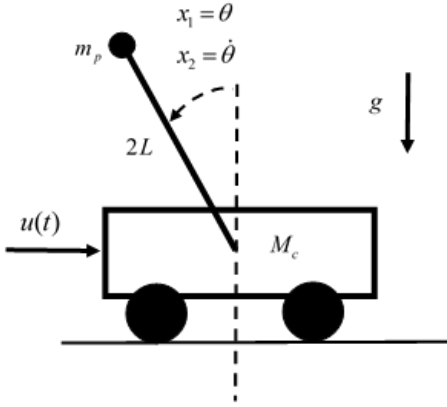


Fig. 4. Inverted Pendulum on a cart

The nonlinear equation of the Inverted Pendulum on a cart is expressed as follows

$$\begin{aligned} \dot{x}_1(t) &= x_2(t) \\ \dot{x}_2(t) &= \frac{g \sin(x_1(t)) - am_p L x_2^2(t) \sin(x_1(t)) \cos(x_1(t))}{4L/3 - am_p L \cos^2(x_1(t))} \\ &\quad - \frac{a \cos(x_1(t)) u}{4L/3 - am_p L \cos^2(x_1(t))} \end{aligned} \quad (58)$$

where $x = [x_1 \ x_2] = [\theta \ \dot{\theta}]$ is a vector of states, θ is the angle (in radians), $\dot{\theta}$ is the angular velocity. $m_p = 2kg$,

$M_c = 8kg$, $2L = 1m$ are the mass of Pendulum, Cart and the length of the pendulum, respectively; $a = 1/(m_p + M_c)$. $u(t)$ is the control input force imposed on the cart, and $x_1(t) \in \left[-\frac{70\pi}{180} \ \frac{70\pi}{180}\right]$. In order to reduce computational burden, the term $\sin(x_1(t)) \approx s_1 x_1(t)$, $\tan(x_1(t)) \approx t_1 x_1(t)$, $s_1 = 0.8578$ and $t_1 = 1.5534$.

Applying nonlinear sector to linearize this system, the nonlinear system (58) is represented as the following polynomial T-S fuzzy system. It is noted that $y = Cx(t) = [0 \ 1] \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = x_2$, therefore, the result of the nonlinear system can be expressed in the form of (3) with $\zeta(t)$ being the output $y(t)$.

$$\begin{cases} \dot{x}(t) = \sum_{i=1}^2 \beta_i(x_1(t)) [A_i(y)x(t) + B_i(y)u(t)] \\ y = Cx(t) \end{cases} \quad (59)$$

where

$$A_1(y) = \begin{bmatrix} 0 & 1 \\ a_1(y) & 0 \end{bmatrix}, \quad A_2(y) = \begin{bmatrix} 0 & 1 \\ a_2(y) & 0 \end{bmatrix}, \quad B_1 = \begin{bmatrix} 0 \\ -f_{1\min} a \end{bmatrix},$$

$$B_2 = \begin{bmatrix} 0 \\ -f_{1\max} a \end{bmatrix}, \quad C = [0 \ 1],$$

$$f_{1\min} = 0.5222, \quad f_{1\max} = 1.7647$$

$$a_1(y) = f_{1\min} (gt_1 - am_p L y^2 s_1), \quad a_2(y) = f_{1\max} (gt_1 - am_p L y^2 s_1)$$

The premise variables

$$\beta_1(x_1(t)) = \frac{f_1(x_1) - f_{1\max}}{f_{1\min} - f_{1\max}}, \quad \beta_2(x_1(t)) = 1 - \beta_1(x_1(t));$$

$$f_1(x_1) = \frac{\cos(x_1)}{4L/3 - am_p L \cos^2(x_1)}$$

Suppose the (59) are affect by the following uncertainties, then

$$\begin{cases} \dot{x}(t) = \sum_{i=1}^2 \beta_i(x_1(t)) [(A_i(y) + \Delta A_i(y))x(t) + (B_i(y) + \Delta B_i(y))u(t)] \\ y = Cx(t) \end{cases} \quad (60)$$

$$\Delta A_1(y) = \begin{bmatrix} 0.21y^2 \sin(2y+1) & -0.12y^2 \cos(y) \\ 0.55 \sin(2y+1) & -0.6 \cos(y) \end{bmatrix},$$

$$\Delta A_2(y) = \begin{bmatrix} -0.11y^2 \cos(2y) & 0.02y^2 \sin(y) \\ -0.55 \cos(2y) & 0.1 \sin(y) \end{bmatrix},$$

$$\Delta B_1(y) = \begin{bmatrix} 0.21y^2 \cos(y^2) \\ 1.05 \cos(y^2) \end{bmatrix}, \quad \Delta B_2(y) = \begin{bmatrix} 0.21y^2 \sin(y^2) \\ 1.05 \sin(y^2) \end{bmatrix}.$$

Transform (60) into unknown input system with $D(y) = \begin{bmatrix} 0.1y^2 \\ 0.5 \end{bmatrix}$. With $x_1(t) \in \left[-\frac{70\pi}{180}, \frac{70\pi}{180}\right]$, in this paper, we assume $\partial_1 = \partial_2 = 2.5$.

Step 1: It is seen that the matrices C , $D(y(t))$ are full row and column ranks, respectively, and $\text{normal rank}(CD(\zeta(t))) = \text{normal rank}(D(\zeta(t))) = 1$, therefore, the Assumption 2 is satisfied.

Step 2: The matrices $U_i(y)$ and $V_i(y)$ are calculated:

$$U(y) = \begin{bmatrix} y^2/5 \\ 1 \end{bmatrix}, \quad V(y) = 0.$$

Step 3: The matrices P_i , $Q_i(y(t))$, $K(y(t))$, $Y(y(t))$, and $X(y(t))$ are obtained as follows

$$P_1 = \begin{bmatrix} 0.40015 \times 10^{-8} & 0.19773 \times 10^{-10} \\ 0.19773 \times 10^{-10} & 0.20074 \times 10^{-8} \end{bmatrix},$$

$$P_2 = \begin{bmatrix} 0.40092 \times 10^{-8} & 0.70957 \times 10^{-10} \\ 0.70957 \times 10^{-10} & 0.34511 \times 10^{-8} \end{bmatrix}, \quad Y(y) = \begin{bmatrix} 0 \\ 0 \end{bmatrix},$$

$$K(y) = \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \quad X(y) = \begin{bmatrix} 0.28496 \times 10^{-7} & 0.15309 \times 10^{-4} \\ 0.2297 \times 10^{-8} & 0.43039 \end{bmatrix},$$

$$Q_1(y) = \begin{bmatrix} -0.8162 \times 10^{-10} y^2 - 0.232 \times 10^{-11} y + 0.153 \times 10^{-4} \\ 0.69247 \times 10^{-4} y^2 - 0.40629 \times 10^{-10} y + 0.43036 \end{bmatrix}$$

$$Q_2(y) = \begin{bmatrix} 0.883 \times 10^{-9} y^2 + 0.985 \times 10^{-16} y + 0.153 \times 10^{-4} \\ 0.702 \times 10^{-4} y^2 - 0.881 \times 10^{-10} y + 0.430 \end{bmatrix}.$$

Step 4 and Step 5: The parameters $N_i(y)$, $G_i(y)$, $F(y)$, and $L_i(y(t))$ are computed

The matrices $N_1(y)$ and $N_2(y)$ are expressed in (61) and (62), respectively,

$$F(y) = \begin{bmatrix} y^2/5 \\ 1 \end{bmatrix}, \quad G_1(y) = \begin{bmatrix} (261y^2)/25000 \\ 0 \end{bmatrix},$$

$$G_2(y) = \begin{bmatrix} (7767y^2)/250000 \\ 0 \end{bmatrix},$$

$$L_1(y) = \begin{bmatrix} -0.089302y^2 - 0.8 \times 10^{-4} y + 1.055137 \\ 0.161 \times 10^{-3} y^2 - 0.939658 \times 10^{-10} y + 0.999930 \end{bmatrix},$$

$$L_2(y) = \begin{bmatrix} -0.056656y^2 + 0.455986 \times 10^{-8} y + 1.032527 \\ 0.163 \times 10^{-3} y^2 - 0.204865 \times 10^{-11} y + 0.999907 \end{bmatrix}.$$

After obtaining all parameters, the observer (11) is constructed. The simulation results of the fuzzy observer for the system in Example 2 with input $u(t) = 0.1 \sin(t)$ and initial values of the states $x(0) = [70 \times \pi/180 \quad 1]^T$, estimated states $\hat{x}(0) = [-35 \times \pi/180 \quad -1.2]^T$ are illustrated as follows.

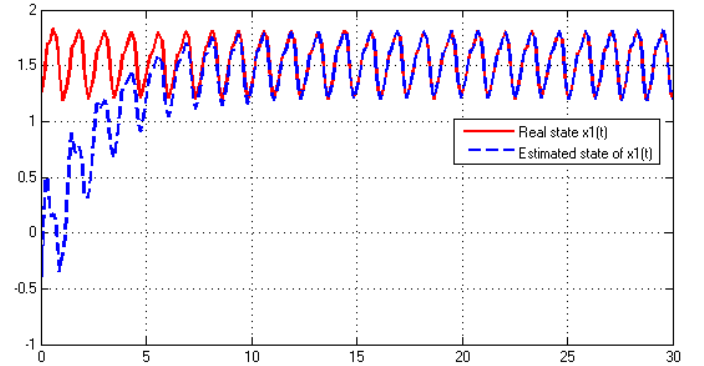


Fig. 5. Real state $x_1(t)$ and estimated state $\hat{x}_1(t)$

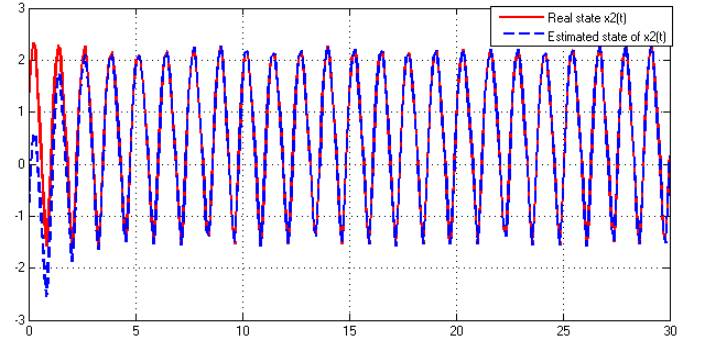


Fig. 6. Real state $x_2(t)$ and estimated state $\hat{x}_2(t)$

The simulation results of the observer synthesis for the practical dynamic system of Inverted Pendulum on a Cart which is affected by uncertainties are illustrated in Figs. 5-7. Obviously, Fig. 5 and Fig. 6 show that estimation states \hat{x}_1 and

$$N_1(y) = \begin{bmatrix} (y^2((0.0447y^2) - 7.9496))/5 & 0.0893y^2 + 0.81 \times 10^{-4} y - 0.0551 \\ 0 & -0.161 \times 10^{-3} y^2 + 0.9397 \times 10^{-10} y - 0.999 \end{bmatrix} \quad (61)$$

$$N_2(y) = \begin{bmatrix} (y^2((0.1513y^2) - 26.8645))/5 & 0.0566y^2 - 0.4559 \times 10^{-8} y - 0.0325 \\ 0 & -0.163 \times 10^{-3} y^2 + 0.2048 \times 10^{-11} y - 0.9999 \end{bmatrix} \quad (62)$$

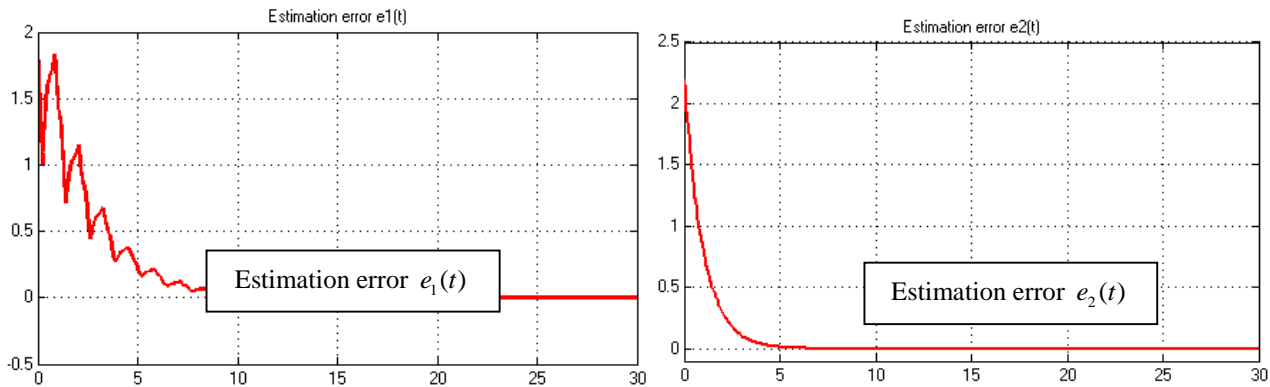


Fig. 7. Estimation errors $e_1(t)$ and $e_2(t)$

\hat{x}_2 , approach asymptotically to real states x_1 and x_2 , respectively. In Fig. 7, it is clearly seen that the estimation errors e_1 and e_2 converge to zero asymptotically.

Remark 7: $|\dot{\beta}_k(\theta(t))| \leq \partial_k$, $k = 1, 2, \dots, r$, in Assumption 3 is used in the above two examples. However, in this study, the bound range of some or all state variables are not known, and the bound of the uncertainties is not known either; hence, the upper bounds ∂_1 and ∂_2 are difficult to estimate. Therefore, in this study, the values of ∂_1 and ∂_2 in Example 1 and 2 are selected by trial and error. If the chosen ∂_1 and ∂_2 make the observer synthesis be feasible and the simulation is successful, then the synthesized observer is effective. If the chosen ∂_1 and ∂_2 either make the observer synthesis be not feasible or the simulation is not successful, we need to choose another couple ∂_1 and ∂_2 . Based on our experience, if the feasible observer is not obtained, we may decrease ∂_1 and/or ∂_2 to design it again; however, if the observer synthesis is feasible, but the simulation is not successful, we may increase ∂_1 and/or ∂_2 . We have to admit that trial and error method is not reliable for the observer synthesis, it should be resolved in the future work.

Remark 8: It is worth noting that we assume that the upper bounds of the uncertainties in Example 1 and Example 2 are unavailable, therefore, the method in [21] and [28] will fail to design observer for the system (56) and (60). By using the proposed method with a new form of the observer (12), the observer has been synthesized successfully for the uncertain polynomial T-S fuzzy system, in which the bounded constraints are unknown and the controller did not need to be designed together with an observer for the purpose of eliminating the effects of uncertainties.

V. CONCLUSION

This paper has proposed a new approach based on the unknown input method to synthesize the observer for the uncertain polynomial T-S fuzzy system. On the basis of non-common Lyapunov function and SOS technique, two main theorems which contain the conditions for observer

synthesis have been derived. These conditions are solved easily by using SOS TOOL of Matlab. Finally, two examples have demonstrated to present the effectiveness of the proposed method. The main characteristics of this paper are as follows. (i) There are no upper bound limits for uncertainties. (ii) The observer synthesis can be completed independently without designing controller together. (iii) The observer form is new and is notably completely different from other existing traditional form of observers.

ACKNOWLEDGMENT

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